

## HIM 100 Health Data Content and Structure

### Credit Hours:

4 Hours (includes lab component)

### Instructor:

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### Prerequisites:

None

### Description:

Emphasis on the health information profession, interdisciplinary relationships, health care data management, documentation standards, methods of access and retention of image-based information and maintenance of health information in acute and non-acute care facilities.

Procedures for maintaining vital statistics and specialized registries will be included.

### Textbooks:

Health Information Management Technology: An Applied Approach 5<sup>th</sup> Ed, Sayles, 2016, American Health Information Management Association, ISBN: 978-1-58426-517-7

Students will need an EHR-Go subscription for access to the Electronic Health Record. This is being provided to each student in the HIM 100 course.

### Examinations:

Eleven chapter quizzes and a Comprehensive Final Examination will be given.

### What to do if you have technology issues:

For any type of email and/or computer problems you will need to contact the IT helpdesk via [wku.edu/it/chat](mailto:wku.edu/it/chat) or via phone @ 270-745-7000 so that you can give your instructor the ticket # in the event you need to have your exam/quiz reset.

### Evaluation:

The final course grade will be derived for the following:

11 Chapter Quizzes and 1 Examination	100 points= 1200
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21 Lab/Clinical Skills	100 points= 2100
11 Proof of Readings	35 points= 385

The following Grade System will be used:

**Test scores will be worth 70% of your grade.**

*Total points divided by the possible points multiplied by .7=Answer*

**POR and Lab/clinical skills will be worth 30%.**

*Total points divided by the possible points multiplied by .3=Answer*

*Add both answers to get your percentage*

100-90	A
89-80	B
79-70	C
69-60	D
59 and below	F

Title IX Sexual Misconduct/Assault:

Western Kentucky University (WKU) is committed to supporting faculty, staff and students by upholding WKU's Title IX Sexual Misconduct/Assault Policy (#0.2070) at <https://wku.edu/eoo/documents/titleix/wkutitleixpolicyandgrievanceprocedure.pdf> and Discrimination and Harassment Policy (#0.2040) at [https://wku.edu/policies/hr\\_policies/2040\\_discrimination\\_harassment\\_policy.pdf](https://wku.edu/policies/hr_policies/2040_discrimination_harassment_policy.pdf). Under these policies, discrimination, harassment and/or sexual misconduct based on sex/gender are prohibited. If you experience an incident of sex/gender-based discrimination, harassment and/or sexual misconduct, you are encouraged to report it to the Title IX Coordinator, Andrea Anderson, 270-745-5398 or Title IX Investigators, Michael Crowe, 270-745-5429 or Joshua Hayes, 270-745-5121. Please note that while you may report an incident of sex/gender based discrimination, harassment and/or sexual misconduct to a faculty member, WKU faculty are "Responsible Employees" of the University and MUST report what you share to WKU's Title IX Coordinator or Title IX Investigator. If you would like to speak with someone who may be able to afford you confidentiality, you may contact WKU's Counseling and Testing Center at 270-745-3159.

Disability Accommodations: "In compliance with University policy, students with disabilities who require academic and/or auxiliary accommodations for this course must contact the Student Accessibility Resource Center located in Downing Student Union, 1074. The phone number is 270.745.5004(270.745.5121 V/TDD) or email at [sarc@wku.edu](mailto:sarc@wku.edu). Please do not request accommodations directly from the professor or instructor without a letter of accommodation (LOA) from The Student Accessibility Resource Center. Once disability services/accommodations have been granted and initiated, please contact me with any questions or concerns. Also, if you believe that you are not receiving the disability services to which you are entitled, please address this concern with me immediately so discussion and/or adjustments can occur.

**Food Security:** Food insecurity is defined as a condition where persons, in this case students, do not have adequate resources to feed themselves, either nutritiously or not at all (USDA, 2013). According to a recent national study (Hunger on Campus, 2016), food insecurity is common at colleges and universities across the country, potentially undermining the educational success of untold thousands of students. If food insecurity is an issue you, or someone you know, help is readily available. Contact the WKU Office of Sustainability at (270) 745-2508 or email [sustainability@wku.edu](mailto:sustainability@wku.edu).

**Emotional Support:** WKU offers confidential counseling for students at the WKU Counseling Center. The best way to schedule an appointment is to visit their office in Potter Hall, Room 409 or by calling their office at 270-745-3159. They are open Monday - Friday from 8:00am - 4:30pm. For emergency and after-hours information, call 270-745-3159.

**Attendance:** Students are expected to Complete all assignments in a timely manner.

**Instructional Methods:**

Power Points and other electronic venues  
Review of Medical Records  
Utilization of Computer  
Lab Activities  
Others, as appropriate

**Schedule Note:**

**One of the first things that you need to do is to place the schedule of this class in your calendar in order to keep up with when assignments/quizzes are to be completed.**

**At the beginning of the semester 15 of your modules will be open for you to complete. You should complete at least, one module per week. When you are finished with a module you may work ahead on the next modules.**

**Modules 1-7 must be completed by the end of week Eight. After week eight you will not be allowed to complete anything in Modules 1-7. IMPORTANT Information: Have all PORs, Lab assignments, and Quizzes for Modules 1-7 completed by March 22nd @ 11:59 pm**

**Modules 9-16 will be due before May 10th @ 11:59 pm. Have all PORs, Lab assignments, and Quizzes for Modules 8-16 completed by May 13th @ 11:59pm**

Rev. 01/2020

**One of the first things that you need to do is to place the schedule of this class in your calendar in order to keep up with when assignments/quizzes are to be completed.**

HIM 100- HEALTHCARE DATA CONTENT AND STRUCTURE

WEEK	TOPIC	ASSIGNMENT
1	Module #1: Syllabus	Purchase text; Orientation Quiz over Syllabus; HIM Handbook Crossword Activity
2	Module #2: Ch. 1 HIM Profession	Read Introduction and Pages 3-16; On Bb submit POR over reading; Chapter 1 Quiz
3	Module #3: Ch. 2 Healthcare Delivery System	Read Pages 19-47; On Bb submit POR over reading; AHIMA Career Mapping and Continuum of Care; Chapter 2 Quiz
4	Module #4: Ch. 3 Health Information Functions, Purpose, and Users	Read Pages 51-76; On Bb submit POR over reading; EHR Orientation Assignment; Tools and Resources; Chapter 3 Quiz
5	Module #5: Ch. 4 Health Record Content and Documentation	Read Pages 81-109; On Bb submit POR over reading; Introduction to Chart Deficiencies; Introductory Evaluation; Analyzing for Chart Deficiencies; Chapter 4 Quiz
6	Module #6: Ch. 5 Clinical Terminologies, Classifications, and Code Systems	Read Pages 113-135; On Bb submit POR over reading; UHDDS & the EHR; Understanding TJC's Tracer Methodology; Chapter 5 Quiz
7	Module #7: <b>Spring Break</b>	
8	Module #8: Ch. 6 Data Management	Read Pages 139-146 & 165-166; On Bb submit POR over reading; Quality Improvement Utilizing the EHR; Chapter 6 Quiz
9	Module #9: Ch. 7 Secondary Data Sources	Read Pages 171-189; On Bb submit POR over reading; Communication within the EHR; Data Entry; Retrieval of Data; Chapter 7 Quiz
10	Module #10 Ch. 8 Health Law	Read Pages 195-209; On Bb submit POR over reading; Health Information Terminology; Understanding the Joint Commission's Tracer Methodology; Chapter 8 Quiz
11	Module #11	Release of Information; SAFER analysis: Clinician Communication; Release of Information & Accounting for Disclosure
12	Module #12: Ch. 9 Data Privacy and Confidentiality	Read Pages 218-228 & 243-248; On Bb submit POR over reading; Introducing HITECH & the History of EHRs Chapter 9 Quiz
13	Module #13: Ch. 12 Healthcare Information	Read Pages 330-334; On Bb submit POR over reading; Introduction to the Cancer Registry; Chapter 12 Quiz
14	Module #14: Ch. 21 Ethical Issues	Read Pages 603-619; On Bb submit POR over reading; Watch Health Literacy Video; Chapter 21 Quiz
15	Module #15: Legal Record Comparison	Legal Record Comparison <b>Have all PORs, Lab assignments, and Quizzes for Modules 8-15 completed by May 10<sup>th</sup> @ 11:59</b>
16	Finals week	Comprehensive Final should be taken by <b>May 13<sup>th</sup> before 4:00 p.m.</b>

POR- Proof of Readings    Schedule is subject to change. Rev. 0

<b>Subdomain I.A. Classification Systems</b>		
1. Apply diagnosis/procedure codes according to current guidelines, 3	* Principles and applications of Classification Systems (ICD, CPT, HCPCS, SNOMED, DSM)	Data Entry (3) Communication within the EHR(3)
	* Taxonomies (Healthcare data sets such as OASIS, HEDIS, UHDDS, DEEDS)	UHDDS and the EHR(3) Tests question
	* Nomenclatures	Test Question
	* Terminologies (SNOMED)	Test Question
	* Clinical Vocabularies	Test Question
2. Evaluate the accuracy of diagnostic and procedural coding, 5	* Principles and applications of classification, taxonomies, nomenclatures, terminologies, clinical vocabularies, auditing	Communication within the EHR(3)
<b>Subdomain I.B. Health Record Content and Documentation</b>		
1. Analyze the documentation in the health record to ensure it supports the diagnosis and reflects the patient's progress, clinical findings and discharge status, 4	* Content of health record	Introduction to Chart Deficiencies(3) CPRS Analyzing for Chart Deficiencies(4)
	* Documentation requirements of the health record	Introduction to Chart Deficiencies(3) CPRS Analyzing for Chart Deficiencies(4)
	* Health information media (paper, computer, web-based document imaging)	Introduction to Chart Deficiencies(3) CPRS Analyzing for Chart Deficiencies(4)
2. Verify the documentation in the health record is timely, complete, and accurate, 4	* Documentation requirements of the health record for all record types	Introduction to Chart Deficiencies(3) CPRS Analyzing for Chart Deficiencies(4)
	* Acute, outpatient, LTC, rehab, behavioral health	Introduction to Chart Deficiencies(3) CPRS Analyzing for Chart Deficiencies(4)
3. Identify a complete health record according to organizational policies, external regulations, and standards, 3	* Medical staff By-laws	
	* The Joint Commission, State Statutes (Legal health record and complete health record)	UHDDS and the EHR(3) Introduction to Chart Deficiencies(3) CPRS Analyzing for Chart Deficiencies(4)

		Understanding TJC's Tracer Methodology
4. Differentiate the roles and responsibilities of various providers and disciplines to support documentation requirements throughout the continuum of healthcare, 5	* Health Information System as it relates to the roles and responsibilities of health care providers	
	* Administrative (patient registration, ADT, billing) and Clinical (lab, radiology, pharmacy)	
<b>Subdomain I.C. Data Governance</b>		
1. Apply policies and procedures to ensure the accuracy and integrity of health data, 3	* Data stewardship	Quality Improvement Utilizing the EHR(4)
	* Data and data sources for patient care (management, billing reports, registries, and/or databases)	
	* Data integrity concepts and standards	
	* Data Sharing	Health Information Exchange(3)
	* Data interchange standards (X2, HL-7)	Health Information Exchange(3)
	* By-laws (Provider contracts with facilities, Medical staff By-laws, Hospital By-laws)	
<b>Subdomain I.D. Data Management</b>		
1. Collect and maintain health data, 2	* Health data collection tools (Screen design, screens)	Quality Improvement Utilizing the EHR(4) UHDDS & the EHR(5)
	* Data elements, data sets, databases, indices	Test Question
<b>Subdomain I.E. Secondary Data</b>		
1. Identify and use secondary data presentations, 3	* Data sources primary/secondary (UHDDS, HEDIS, OASIS)	Health Information Exchange(3) Using the Tools & Resources in the EHR(3)
	* Registries	Test Question
<b>Domain II. Information Protection: Access, Disclosure, Archival, Privacy &amp; Security</b>		
<b>Subdomain II.A. Health Law</b>		
1. Apply healthcare legal terminology, 3	* Healthcare legal terminology	Health Information Terminology(3)
2. Identify the use of legal documents, 3	* Health information/record laws and regulations (Consent for treatment, retention,	Release of Information(3)

	privacy, patient rights, advocacy, health power of attorney, advance directives, DNR)	
3. Apply legal concepts and principles to the practice of HIM, 3	* Maintains a legally defensible health record (Subpoenas, depositions, court orders, warrants)	Release of Information(3)
<b>Subdomain II.B. Data Privacy, Confidentiality &amp; Security</b>		
1. Apply confidentiality, privacy and security measures and policies and procedures for internal and external use and exchange to protect electronic health information, 3	* Internal and external standards, regulations and initiatives (State and federal privacy and security laws)	Release of Information(3) Intro To Privacy, Security, & Confidentiality (3)
	* Patient verification (Medical identify theft)	Release of Information(3) Intro To Privacy, Security, & Confidentiality (3)
2. Apply retention and destruction policies for health information, 3	* Data storage and retrieval	ROI Accounting of Disclosures(3)
	* E-Discovery	
	* Information archival, data warehouses	
<b>Subdomain II.C. Release of Information</b>		
1. Apply policies and procedures surrounding issues of access and disclosure of protected health information, 3	* Release patient specific data to authorized users	Release of Information(3) ROI Accounting of Disclosures(3)
	* Access and disclosure policies and procedures	Release of Information(3) ROI Accounting of Disclosures(3)
<b>Domain III. Informatics, Analytics and Data Use</b>		
<b>Subdomain III.A. Health Information Technologies</b>		
1. Utilize software in the completion of HIM processes, 3	* Record tracking, release of information, coding, grouping, registries, billing, quality improvement, imaging, natural language processing, EHRs, PHRs, document imaging	ROI Accounting of Disclosures(3) Understanding TJC Tracers Methodology (3)
2. Explain policies and procedures of networks, including intranet and Internet to facilitate clinical and administrative applications, 2	* Communication and network technologies (EHR, PHR, HIEs, portals, public health, standards, telehealth)	Health Information Exchange (3) Test Questions
<b>Subdomain III.F. Consumer Informatics</b>		



1. Explain usability and accessibility of health information by patients, including current trends and future challenges, 2	* Mobile technologies, patient portals, patient education, outreach, patient safety, PHRs, patient navigation	Cases Studies from Registries for Evaluation Patient Outcomes
<b>Subdomain III.H. Information Integrity and Data Quality</b>		
1. Apply policies and procedures to ensure the accuracy and integrity of health data both internal and external to the health system, 3	* Case management/care coordination	Case Study Review(3) Quality Improvement Utilizing the EHR(3) Communication within the EHR(3)
<b>Domain IV. Revenue Management</b>		
<b>Subdomain IV. A. Revenue Cycle and Reimbursement</b>		
1. Apply policies and procedures for the use of data required in healthcare reimbursement, 3	* Payment methodologies and systems (Capitation, PPS, RBRVS, case mix, indices, MSDRGs, healthcare insurance policies, Accountable Care Organizations)	CMD 1500 Billing Form(3)
	* Utilization review/management (Case Management)	Case Study
<b>Domain V. Compliance</b>		
<b>Subdomain V.A. Regulatory</b>		
2. Collaborate with staff in preparing the organization for accreditation, licensure, and/or certification, 4	* Accreditation, licensure, certification	Legal Record
<b>Subdomain V.B. Coding</b>		
1. Analyze current regulations and established guidelines in clinical classification systems, 4	* UHDDS guidelines	UHDDS and the EHR(5) Classification & Terminology(5)
<b>Domain VI. Leadership</b>		
<b>Subdomain VI.A. Leadership Roles</b>		
1. Summarize health information related leadership roles, 2	* Leadership roles (Healthcare providers and disciplines)	
3. Organize and facilitate meetings, 3	* Roles and functions of teams and committees (Work in teams/committees, consensus building)	
	* Communication and interpersonal skills	

	* Critical thinking skills	
<b>Subdomain V.I.C. Work Design and Process Improvement</b>		
2. Identify cost-saving and efficient means of achieving work processes and goals, 3	* Incident response	Test Question
	* Medication reconciliation	Test Question
	* Sentinel events	Test Question
<b>Subdomain VI.F. Strategic and Organizational Management</b>		
2. Understand the importance of healthcare policy-making as it relates to the healthcare delivery system, 2	* Health People 2020	Introducing HITECH & the History of EHRs(3) Understanding TJC's Tracer Methodology(3)
	* CDC	Test Question
	* State, local and federal policies	Test Question
3. Describe the differing types of organizations, services and personnel and their interrelationships across the health care delivery system, 2	* Managed care organizations	Introducing HITECH & the History of EHRs(3) Understanding TJC's Tracer Methodology(3)
	* Payers/providers, all delivery settings	Test Question
4. Apply information and data strategies in support of information governance initiatives, 3	* Information and data strategy methods and techniques	Meaningful Use Stage 1 for Providers(3)
	* Data and information stewardship	Test Question
	* Critical thinking skills	Test Question
<b>Subdomain VI.H. Ethics</b>		
1. Comply with ethical standards of practice, 5	* Professional and practice-related ethical issues	Ethics Lab
	* AHIMA Code of Ethics	Ethics Lab
2. Evaluate the consequences of a breach of healthcare ethics, 5	* Breach of healthcare ethics	Ethics Lab
3. Assess how cultural issues affect health, healthcare quality, cost and HIM, 5	* Cultural competence	Diversity awareness training program
	* Healthcare professionals self-assessment of cultural diversity	Diversity awareness training program
	* Self-awareness of own culture	Diversity awareness training program
	* Assumptions, biases, stereotypes	Diversity awareness training program
4. Create programs and policies that support a culture of diversity, 6	* Diversity awareness training programs: age, race, sexual orientation, education, work experience, geographic location, disability	Diversity awareness training program  <a href="#">Creating a Respectful Workplace Training Video</a>



# **HIM 100-Health Data Content and Structure**

## **Course Content**

### **I. Healthcare Delivery**

- A. Introduction
- B. Modern Healthcare Delivery
- C. Healthcare Providers and Facilities
- D. Healthcare Services
- E. Trends in Healthcare Delivery
- F. Hospital-Based Services
- G. Continuum of Care
- H. Clinical Documentation in Healthcare: Moving Toward the Electronic Health Record
- I. President Obama's Healthcare Reform
- J. Personal Health Records
- K. Health Information Exchange

### **II. Clinical Documentation and the Health Record**

- A. Introduction
- B. Clinical Documentation and the Health Record
- C. Purpose and Value of Documentation
- D. Owners of the Health Record
- E. Users of the Health Record
- F. Definition of the Health Record for Legal Purposes
- G. Legal Health Record
- H. Patient-identifiable Source Data
- I. Administrative Information
- J. Derived Data
- K. Emerging Issues
- L. Personal Health Records

- M. Types of PHRs
- N. Documentation Guidelines
- O. The Future of Clinical Documentation
- P. Appendix 2A: Fundamentals of the Legal Health Record and Designated Record Set
- Q. Appendix 2A.1: Health Record Matrix
- R. Appendix 2A.2: Comparison of the Designated Record Set versus the Legal Health Record
- S. Appendix 2A.3: Considerations for the Legal Health Record and Designated Record Set
- T. Appendix 2A.4: Documents that Fall Outside the Designated Record Set and Legal Health Record
- U. Appendix 2A.5: Policy Definitions
- V. Appendix 2A.6: Legal Health Record Sample Template
- W. Appendix 2A.7: Sample Designated Record Set Template

### **III. Principal and Ancillary Functions of the Healthcare Record**

- A. Introduction
- B. Principal Functions of the Health Record
- C. Administrative Information and Demographic Data
- D. Admitting and Registration Information
- E. Patient-Care Delivery
- F. Patient-Care Management and Support
- G. Billing and Reimbursement
- H. Ancillary Functions of the Health Record
- I. Accreditation, Licensure, and Certification
- J. Biomedical Research
- K. Clinical Education
- L. Medical Staff Appointments and Privileges
- M. Risk Management and Incident Reporting
- N. Health Records as Legal Documents
- O. Morbidity and Mortality Reporting
- P. Management of the Healthcare Delivery System

- Q. Form and Content of Health Records
- R. The Consumer's Right to Health Record Access
- S. Release and Disclosure of Confidential Health Information
- T. Redisclosure of Confidential Health Information
- U. Retention of Health Records
- V. Destruction of Health Records
- W. Summary
- X. References
- Y. Appendix 3A: Sample Informed Consent Document
- Z. Appendix 3B: Maintaining a Legally Sound Health Record—Paper and Electronic

## **VI. Documentation for Statistical Reporting and Public Health**

- A. Introduction
- B. Research and Statistics
- C. Public Health Reporting
- D. Centers for Disease Control and Prevention WONDER Database
- E. National Center for Health Statistics
- F. Department of Health and Human Services Data Council
- G. The National Health Care Survey
- H. Vital Statistics
- I. Facility-Specific Indexes
- J. Master Patient Index
- K. Physician Index
- L. Disease and Operation Indexes
- M. Registries
- N. Healthcare Databases
- O. National Practitioner Data Bank (NPDB)
- P. Data Quality Issues
- Q. Primary and Secondary Data Sources

R. Standardized Clinical Data Sets

S. Summary

T. References

U. Appendix 4A: Fundamentals for Building a Master Patient Index/Enterprise Master Patient Index

V. Appendix 4A.1: Recommended Core Data Elements for EMPs

W. Appendix 4A.2: Glossary

X. Appendix 4A.3: Sample Job Description

## **V. Clinical Information and Nonclinical Data**

A. Introduction

B. Data Versus Information

C. Administrative Information

D. Demographic Data

E. Financial Data

F. Preliminary Clinical Data

G. Consents and Acknowledgments

H. Clinical Information

I. Who Documents in the Health Record?

J. Who Regulates Health Record Content?

K. Clinical Reports in Health Records

L. Medical History

M. Report of Physical Examination

N. Physician's Orders

O. Progress Notes

P. Outpatient Services Provided in Acute-Care Facilities

Q. Specialty-Care Documentation

R. Discharge Summaries

S. Autopsy Reports

T. Clinical Information as the Basis for Uniform Data Sets

## **VI. Health Record Design**

- A. Introduction
- B. Paper-Based Health Records
- C. Source-Oriented Health Records
- D. Problem-Oriented Health Records
- E. Integrated Health Records
- F. Limitations of Paper-Based Health Records
- G. Electronic Health Records
- H. Definition of the Electronic Health Record
- I. Data, Information, and Knowledge
- J. Benefits of and Barriers to the EHR
- K. Components of the EHR
- L. Federal Policies Driving EHR Implementation
- M. National Infrastructure for the EHR
- N. Healthcare Providers and the Infrastructure for EHRs
- O. Case Study: VistA—Veterans Health Information Systems and Technology Architecture
- P. VistA Overview
- Q. VistA for Patient Care
- R. VistA for Research
- S. The Hybrid Health Record
- T. Definition of the Hybrid Health Record
- U. Format of the Hybrid Health Record
- V. Health Record Storage Systems
- W. Paper-Based Storage Systems
- X. Microfilm-Based Storage Systems
- Y. Image-Based Storage Systems
- Z. Health Record Formats' Impact on HIM Functions
- AA. Authentication of Health Record Entries



BB. Guidelines to Prevent Fraud and Ensure EHR Documentation Integrity

CC. Authorship Integrity

DD. Auditing Integrity

EE. Documentation Integrity: Automated Insertion of Clinical Data

FF. Corrections in Clinical Documentation

GG. e-Discovery: Developing a Litigation Response Plan

HH. New Requests, New Responsibilities

II. The Duty to Preserve

JJ. The Legal Hold

KK. The e-Discovery Litigation Response Team

LL. Disaster Planning

## **VII. Best Practices in Health Record Documentation**

A. The Importance of Clinical Documentation

- a. Evidence-based Documentation: The Theory of High-Quality Clinical Documentation
- b. Seven Criteria for High-Quality Clinical Documentation
- c. The Clinical Documentation Specialist
- d. CDI and the EHR

B. Translating Clinical Documentation into Coded Data

- a. How a Coding Professional Views an Inpatient Health Record
- b. The Relationship Between Clinical Documentation and Coding
- c. Basic Coding Guidelines
- d. Example of Coding for a Myocardial Infarction (Heart Attack)

C. Clinical Documentation Analysis and Assessment

- a. Data Review
- b. What Data Matter?
- c. Qualitative Analysis
- d. Ongoing Record Review

## **VIII. Federal and State Requirements and Accreditation**

A. Introduction

B. Federal and State Requirements

C. Federal Healthcare Statutes

D. HIPAA

E. HITECH Act

F. Federal Patient Safety Legislation

G. CMS Regulations

H. Medicare Conditions of Participation

I. Medicare Compliance Surveys

J. CMS Quality Measures

K. Healthcare Corporate Compliance

L. Office of the Inspector General (OIG)

M. OIG Work Plan: HIM-related Activities

N. Federal Requirements for Special Health Record Protection

O. Records of HIV/AIDS Diagnosis and Treatment

P. HIV testing

Q. Confidentiality issues

R. Genetic information Nondiscrimination Act (GINA)

S. Definition of genetic information

T. State requirements

U. Licensure

V. Medicaid eligibility and administration

W. Compliance program

X. Accreditation Requirements for acute care hospitals

Y. The Joint Commission

Z. Priority focus process

AA. Sentinel event policy

BB. National patient safety goals

CC. ORYX

DD. American Osteopathic Association

EE. Internal Hospital Policies and Procedures

- FF. HIM policies and procedures
- GG. Medical staff bylaws, rules, and regulations
- HH. Medical records committee

## **IX. Health Records in Ambulatory Care**

- A. Introduction
- B. Governmental Regulation of Ambulatory Care
- C. Ambulatory Care Accreditation Standards
- D. Advantages
- E. The Joint Commission
- F. Elements of performance
- G. National Patient Safety Goals
- H. Sentinel Event
- I. Accreditation Association for Ambulatory Health Care
- J. American Association for Accreditation of Ambulatory Surgery Facilities
- K. American College of Radiology
- L. CARF
- M. Accreditation Commission for Healthcare
- N. Community Health Accreditation Program
- O. College of American Pathologists
- P. Commission on Cancer
- Q. National Committee for Quality Assurance
- R. Ambulatory Care Health Record Content and Formats
- S. Registration record
- T. Problem/Summary List
- U. Medication list
- V. Medical history
- W. Progress notes
- X. Physician orders

- Y. Patient Instructions
- Z. Missed appointment forms
- AA. Telephone encounters
- BB. Regulation and Policy
- CC. Risk management and liability

## **X. Long-Term Care Hospitals**

- A. Introduction
- B. Long-Term Care Hospital Settings
- C. Regulations
- D. Federal regulations
- E. State regulations
- F. Accreditation regulations
- G. Future regulations
- H. LTCH Health Record Content
- I. LTCH Policies and Procedures

## **XI. Facility-Based Long-Term Care**

- A. Introduction
- B. Adult foster care
- C. Board and care homes
- D. Assisted living
- E. Continuing care retirement communities
- F. Nursing homes
- G. Skilled Nursing Care
- H. Health Record Content
- I. Resident assessments
- J. Resident assessment protocols
- K. Physician documentation

- L. Other documentation
- M. Accreditation Standards and Regulations
- N. Medicare Quality Indicators
- O. Risk Management and Liability

## **XII. Home Care and Hospice Documentation, Accreditation, Liability, and Standards**

- A. Introduction
- B. Background
- C. Home Health and Hospice Record Content
- D. Home care and hospice assessment information
- E. Home care and OASIS
- F. Hospice and assessment
- G. Home health plans of care
- H. Physician orders
- I. Hospice clinical and progress notes
- J. Home health aide documentation
- K. Dietary and nutritional information
- L. Progress notes and the discharge transfer record
- M. Facsimile signatures
- N. Electronic signatures
- O. Medicare Hospice Benefit
- P. Provision of care
- Q. Volunteer documentation
- R. Bereavement documentation
- S. Justification of care levels
- T. Medicare Home Care Benefit
- U. Home health PPS
- V. Documentation of eligibility
- W. Home health under care of physician

- X. Skilled services requirement
- Y. Certification and plan of care
- Z. Medicare Home Care Surveys

### **XIII. Behavioral Healthcare**

- A. Settings
- B. Inpatient facilities
- C. Residential programs
- D. Outpatient facilities
- E. Community behavioral health centers
- F. Employee assistance programs
- G. Schools and universities
- H. Documentation Issues to Consider
- I. Seclusion and restraints
- J. Suicide watch
- K. Minors seeking treatment
- L. Diagnostic interview examination
- M. Psychological testing
- N. Medication management
- O. Psychotherapy sessions
- P. Conservatorship
- Q. Health Record Content
- R. Accreditation, Regulation, Industry, and Advocacy
- S. Accrediting bodies
- T. Joint Commission
- U. Commission on Accreditation of Rehabilitation Facilities
- V. American Osteopathic Association
- W. National Committee for Quality Assurance
- X. Council on Accreditation

- Y. Government regulation
- Z. HIPAA privacy rule
- AA. Healthcare industry forces
- BB. Organizations and advocacy groups
- CC. HIM Professional's Role in Behavioral Healthcare
- DD. EHRs in Behavioral Healthcare

#### **XIV. Exploring Other Healthcare Settings**

- A. Regulations Common to All Healthcare Providers
- B. Outpatient private practitioners or solo practitioners
- C. Outpatient ambulatory integrated clinical settings
- D. Government healthcare settings
- E. Other healthcare settings
- F. Coordinated school health programs
- G. University-based student health service

# **HIM 100-Health Data Content and Structure**

## **Course Objectives**

### **I. Health Care Delivery**

- A. Outline the basic structure of the US healthcare delivery system
- B. Explain the significance of recent trends in healthcare delivery
- C. Distinguish between inpatients and outpatients
- D. Explain the concept of continuum of care
- E. Present the model of the patient-centered medical home
- F. Describe healthcare's migration to the electronic health record
- G. Explain current challenges of the hybrid health record
- H. Describe the use of personal health records
- I. Explain the role health information exchange plays in improving healthcare

### **II. Clinical Documentation and the Health Record**

- A. Discuss the purposes of health records
- B. Describe the functions of clinical documentation and health records
- C. List users of health records
- D. Explain the importance of defining the legal health record
- E. Review documentation requirements in the health record
- F. Discuss factors driving healthcare organizations toward the EHR

### **III. Principal and Ancillary Functions of the Healthcare Record**

- A. I Identify and explain the principal functions of a health record
- B. Define the terms information and data and distinguish between them
- C. Identify the ancillary functions of the health record; explain the special roles health records play in accreditation, licensure, and certification, biomedical research, clinical education, credentialing and privileging, legal proceedings, and reporting morbidity and mortality rates



D. Discuss the right to access, release and disclosure, and retention and destruction of health records; list the most common secondary indexes, registries, and databases maintained by hospitals and explain the content and purpose of each

#### **IV. Documentation for Statistical Reporting and Public Health**

- A. Study how statistics are used in healthcare
- B. Distinguish between primary and secondary data
- C. Compare and contrast patient-identifiable data with aggregate data
- D. Relate how health record data are used for research and statistics
- E. Define healthcare databases in terms of purpose and content
- F. Explain the use of health record data in clinical trials
- G. Identify the role of health record documentation in public health reporting
- H. Define vital statistics
- I. Trace the flow of information in reporting vital statistics
- J. Identify data quality issues to yield statistical information for administrative and clinical decisions
- K. Describe the role and content of a master patient index
- L. Recognize secondary data sources
- M. Identify facility-specific indexes
- N. List routine healthcare databases
- O. Identify data elements in standardized clinical data sets

#### **V. Clinical Information and Nonclinical Data**

- A. List the types of demographic data collected in health records and explain the purpose of each element
- B. List the types of administrative information collected in health records and explain the purpose of each element
- C. Explain the functions of general and special (or informed) consents
- D. Identify the types of clinical information collected in health records and explain the purpose of each element
- E. List the data elements collected in the report of history and physical examination and explain their relevance to patient treatment

- F. Describe the types of services covered in physicians' orders
- G. List the various types of documentation authored by physicians and explain their content and functions
- H. Explain the conditions under which medical consultations should be ordered
- I. List the various types of documentation authored by nurses and explain their content and functions
- J. List the data elements that must be included in laboratory reports
- K. List the data elements that must be included in imaging reports
- L. Explain the purpose and content of anesthesia assessments and reports
- M. List the data elements that must be included in operative reports
- N. List the data elements that must be included in pathology reports
- O. List the data elements that should be collected in implant and transplantation records
- P. Explain the function and content of discharge summaries
- Q. Explain the function and content of patient instructions
- R. List the various types of specialty documentation maintained in acute-care record
- S. List the data elements that must be collected in emergency and trauma records
- T. List the uniform data sets that are collected for hospital patients and describe their content

## **VI. Health Record Design**

- A. Compare the format, functionality, and features of three different paper-based health record formats
- B. List the limitations of paper-based health records
- C. Explain the different definitions for the electronic health record (EHR) and list the elements that are common to all definitions
- D. Define data, information and knowledge and give examples of each
- E. Describe the federal policies and legislation driving national EHR implementation
- F. Describe the benefits and barriers to EHR implementation
- G. List the 10 components of the EHR
- H. Describe HITECH Act criteria for meaningful use of the EHR and list criteria for stages 1, 2, and 3
- I. List the organizations that provide guidance toward a standardized nationwide health information network (NHIN) and EHRs
- J. Describe the different technical standards used to ensure consistency in EHRs

- K. List and define the different standard clinical terminologies and identify which one will likely be used for EHRs and the NHIN
- L. Define data dictionary, explain its purpose, and describe the basic steps involved in developing one
- M. Define a database and explain the concept of database integration in EHR development
- N. Explain electronic forms design concepts and their impact on the functionality of EHRs
- O. Explain the functions of clinical decision support systems included in EHRs
- P. Define the hybrid health record and the challenges it presents
- Q. Describe the different types of electronic document management systems (EDMS)
- R. Explain the Veterans Administration EHR system, VistA, and how it facilitates both patient care and healthcare research
- S. Define authentication within the context of health records and discuss some of the tools used to achieve it
- T. Explain the process for correcting errors in paper-based and electronic health records
- U. Identify four areas of concern when working to prevent fraud in the EHR environment
- V. Identify and explain three concepts important to developing a litigation response plan for e-discovery
- W. Define disaster recovery planning and outline the points an EHR disaster-recovery plan should address

## **VII. Best Practices in Health Record Documentation**

- A. Explain the concept and importance of clinical documentation improvement and identify the seven criteria for high-quality clinical documentation
- B. Define evidence-based medicine and evidence-based clinical documentation
- C. Identify documentation that meets the seven criteria for high-quality clinical documentation and documentation that does not meet the criteria
- D. Describe the background and functions of the clinical documentation specialist
- E. Explain the physician query process and the difference between a concurrent query and a retrospective query
- F. Describe how clinical documentation improvement functions are likely to change once hospitals have made the full transition to an EHR
- G. Explain the role of clinical documentation in the coding process
- H. Describe the process of clinical documentation analysis and assessment
- I. Describe the type of data reports that can be used in the clinical documentation analysis process

- J. Explain the purpose of health record analysis and the differences between quantitative and qualitative analysis
- K. Discuss the importance of ongoing record review and data quality management

### **VIII. Federal and State Requirements and Accreditation Guidelines**

- A. List and explain accreditation and licensure requirements that apply to acute-care health records
- B. Differentiate a statute from a regulation
- C. List and explain the documentation standards in the Medicare Conditions of Participation for Hospitals
- D. Explain the purpose of Centers for Medicare and Medicaid Services (CMS) quality measures and provide examples
- E. Identify the five elements of a healthcare corporate compliance program
- F. Explain the purpose of the Office of the Inspector General's (OIG) compliance guidance and annual work plan
- G. List the functions of the Office of the National Coordinator for Health Information Technology (ONCHIT)
- H. Describe the basic hospital licensure process
- I. Clarify the concept of deemed status
- J. Identify the difference between regulatory standards and accreditation standards
- K. Describe The Joint Commission's accreditation process
- L. Define The Joint Commission's sentinel event policy
- M. Explain the purpose of tracer methodology
- N. Briefly describe the American Osteopathic Association's (AOA) Healthcare Facilities Accreditation Process (HFAP)
- O. Describe the purpose of developing health record policies and procedures and explain the difference between a policy and a procedure

### **IX. Health Records in Ambulatory Care**

- A. Describe the role of the federal government in regulating ambulatory care providers
- B. Explain the role of state governments in regulating ambulatory care providers
- C. Identify at least four reasons an ambulatory care provider would seek out voluntary accreditation

- D. Evaluate the different accreditation agencies for ambulatory care
- E. Describe the Joint Commission's accreditation methodology for ambulatory care, including elements of performance and sentinel events
- F. Describe the emerging documentation requirements for each type of accreditation
- G. Compare the differences in acute care and ambulatory care documentation
- H. Describe the challenges of obtaining informed consent in a large multispecialty setting
- I. Explain the unique difference in the internal policies for a multisite ambulatory healthcare organization
- J. Outline the internal HIM policies that professionals should address to meet current regulation challenges

## **X. Long-Term Care Hospitals**

- A. Define long-term care hospital (LTCH)
- B. Describe the differences between LTCHs and acute care hospitals
- C. List the types of patient diagnoses commonly treated in an LTCH
- D. Explain the federal, state, and accreditation regulations for LTCHs
- E. Describe the assignment of a principal diagnosis for a patient in the LTCH
- F. Describe the contents of the long-term acute-care hospital and long-term care facility health records
- G. Explain the health record review process in the LTCH
- H. Describe the current evolution of LTCH patient classification

## **XI. Facility-Based Long-Term Care**

- A. Describe the different types of facility-based long-term care
- B. Define skilled nursing facility (SNF)
- C. Define nursing facility (NF)
- D. List the types of services provided at SNFs
- E. Describe the Medicare Conditions of Participation for SNFs and NFs
- F. Explain federal, state, and accrediting body regulations for SNFs and NFs
- G. Describe documentation requirements for orders for restraints
- H. Define the resident assessment instrument (RAI) and data collection process

- I. Explain documentation requirements for the RAI
- J. List Medicare quality indicators for SNFs
- K. Explain the method for obtaining and how to use Medicare's SNF Compare website
- L. Explain the relationship between health record documentation and Medicare quality indicators for SNFs
- M. Describe risk management concerns in the SNF

## **XII. Home Care and Hospice Documentation, Accreditation, Liability, and Standards**

- A. Identify the key components of the home care and hospice health record database
- B. Develop an understanding of Medicare home care and hospice benefits
- C. Introduce the Medicare home care survey process
- D. Discuss the documentation challenges for the prospective payment system and Outcome and Assessment Information Set (OASIS)
- E. Provide the quantitative record review guidelines
- F. Introduce the home care and hospice legal issues
- G. Define outcomes management and quality requirements of home care and hospice
- H. Reinforce the importance of confidentiality of performance improvement activities and OASIS

## **XIII. Behavioral Health**

- A. List and explain the sources of regulations and standards that apply to behavioral healthcare records
- B. Describe the variety of settings for behavioral healthcare services
- C. List and describe the documentation issues unique to behavioral healthcare settings
- D. Describe the content of the behavioral health record
- E. Define and describe psychotherapy notes and their special protection under HIPAA privacy regulations
- F. List and describe the many outside forces affecting behavioral healthcare

## **XIV. Exploring other Healthcare Settings**

- A. Explain services provided by, specific regulations for, professional associations for, and health record requirements for healthcare providers
- B. Describe the regulatory and legal standards that apply to all healthcare providers